



JC07 Rec'd PCT/PTO 02 JAN 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Payne et al.
Appl. No.: 09/868,441
Int. Appl. No.: PCT/GB99/01719
I.A. Filing Date: June 16, 1999
Docket No.: 1768
Title: PROCESS FOR PREPARING POLYAMINES

Art Unit:
Examiner:

Action: **TRANSMITTAL OF INFORMATION DISCLOSURE**
Date: November 8, 2001

TO: Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The documents identified on the attached form PTO/SB/08 have come to the attention of the undersigned in connection with the subject application. The identification of these documents is for the purpose of meeting Applicant's duty of disclosure under 37 C.F.R. §1.56 and is not intended to be an admission that any of these documents constitute prior art as to the invention disclosed in the subject application.

The identified documents were cited in the International Search Report established in regard to Int. App. No. PCT/GB99/01719, from which the present application is a 35 U.S.C. 371 national stage application. Copies of these documents are provided herewith, and it is respectfully requested that they be made of record in this proceeding.

RECEIVED
MAY 10 2002
TECH CENTER 1600/2900

The Examiner is courteously solicited to enter an expeditious allowance of this application. If any questions remain to be addressed, it is respectfully requested that the undersigned attorney for the Applicants be contacted at the number listed below.

Respectfully submitted,

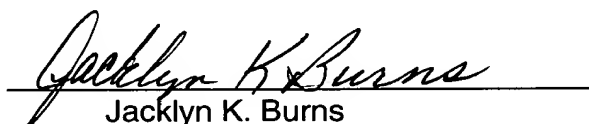
TIMOTHY J. MARTIN, P.C.



Timothy J. Martin, #28,640
Michael R. Henson, #39,222
Mark H. Weygandt, #43,260
9250 W. 5th Avenue, Suite 200
Lakewood, Colorado 80226
(303) 232-3388

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the foregoing **TRANSMITTAL OF INFORMATION DISCLOSURE** including **FORM PTO/SB/08** and **REFERENCES** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on this 9th day of November, 2001.



Jacklyn K. Burns

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> Sheet <u>2</u> of <u>3</u>		Complete if Known	
		Application Number	09/868,441
		Filing Date	June 16, 1999
		First Named Inventor	Payne et al.
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	1768

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	1.	G BYK ETAL.: "Synthesis, activity and structure-activity relationship studies of novel cationic lipids for DNA transfer" JOURNAL OF MEDICINAL CHEMISTRY., vol. 41, no 2, 15 January 1998 (1998-01-15), pages 224-235, XP002118261 American Chemical Society. Washington., US Issn: 0022-2623 the whole document ---	
	2.	H AN ET AL.: "Solution phase combinatorial chemistry. Synthesis of novel linear pyridinopolyamine libraries with potent antibacterial activity" JOURNAL OF ORGANIC CHEM ISTRY., vol. 62, no. 15, 25 July 1997 (1997-07-25), pages 5156-5164 XP002118262 American Chemical Society. Easton., US ISSN: 0022-3263 the whole document ---	
	3.	CHEMICAL ABSTRACTS, vol. 129, no. 6, 10 August 1998 (1998-08-10) Columbus, Ohio, US; abstract no. 66145, I MARSH ET AL.: "Solid phase polyamine linkers-synthesis and combinatorial utility" XP002118263 & INNOVATION AND PERSPECTIVES IN SOLID PHASE SYNTHESIS. COLLECT. PAP. INTERNATIONAL SYMPOSIUM, 4th, MEETING DATE 1995, 1996, pages 111-114, Mayflower Scientific, Birmingham, UK abstract ---	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

MAY 10 2002

TECH CENTER 1600/2900



PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/868,441
		Filing Date	June 16, 1999
		First Named Inventor	Payne et al.
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	1768
Sheet	3	of	3

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	4.	CHEMICAL ABSTRACT, vol. 129, no. 21, 23 November 1998 (1998-11-23) Columbus, Ohio, US; abstract no. 275773, G BYK ET AL.: "Novel non-viral vectors for gene delivery: synthesis of a second-generation library of mono-functionalized poly-(guanidinium) amines and their introduction into cationic lipids" XP002118264 & BIOTECHNOLOGY AND BIOENGINEERING., vol. 61, no. 2, 1998 pages 81-87; INTERSCIENCE PUBLISHERS, LONDON., GB ISSN: 006-3592 abstract	

TECH CENTER 1600/2900
MAY 10 2002
RECEIVED

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.